

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
13768.198.6

In Re Application Of: Mark Lucovsky, et al.

Serial No.
Not Yet Assigned

Filing Date
October 22, 2001

Examiner
Not Yet Assigned

Group Art Unit
Not Yet Assigned

Title: **AUTHORIZING A REQUESTING ENTITY TO OPERATE UPON DATA STRUCTURES**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

JC675 U.S. PTO
10/003767



37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
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Signature

Dated: October 22, 2001

Adrian J. Lee
Attorney for Applicant
Registration No. 42,785

CC:

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10/22/01

(Use several sheets if necessary)

13768-06

Not Yet Assigned

Mark Lucovsky, et al.

October 22, 2001

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[illegible][illegible]

1	<p>Title: Security requirements for web-services; Author Jerbic, M. ; Report Title: Position papers for World Wide Web consortium (W3C) workshop on web services ; p p. 28-33 Issued by: Hewlett-Packard Lab., Bristol, UK ; Publication Date: 2001 Country of Publication: UK; 50 pp.</p>
2	<p>Title: A role-based access control model for XML repositories; - Author Hao He; Wong, R.K. Conference Title: Proceedings of the First International Conference on Web Information Systems Engineering; Part vol.1 p. 138-45 vol.1 Publication Date: 2000; Country of Publication: USA; 2 vol.(xv+498+xvi+219); pp. ISBN: 0 7695 0577 5; Material Identity Number: XX-2000-02089 Conference Title: Proceedings of WISE 2000: 1st International Conference on Web Information Systems Engineering; Conference Date: 19-21 June 2000 Conference Location: Hong Kong, China</p>

DATE CONSIDERED

Form PTO-A820
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P09A/REV04

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SHEET 1 OF 2

INFORMATION DISCLOSURE CITATION

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Docket Number (Optional)

13768 06

Application Number

Not yet Assigned

Applicant(s)

Mark Lucovsky, et al.

Filing Date

October 22, 2001

Group Art Unit

Not Yet Assigned

*EXAMINER
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

3

Simple Object Access Protocol (SOAP) 1.1 W3C Note 08 May 2000
Don Box, DevelopMentor; David Ehnebuske, IBM; Gopal Kakivaya, Microsoft;
Andrew Layman, Microsoft; Noah Mendelsohn, Lotus Development Corp.; Henrik Frystyk Nielsen, Microsoft; Satish Thatte
Microsoft; Dave Winer, UserLand Software, Inc.

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